# Application Number 10608976 Filing Date 2003-06-27 First Named Inventor David E. Rodrigues Art Unit 1751 Examiner Name Vijayakumar Attorney Docket Number RD28584-1

				U.S.I	PATENTS	Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines where nt Passages or Relevant Appear	
	1	4536531		1985-08-20	Ogawa et al			
	2	6148280		2000-11-14	Kramer			
	3	6608133	B2	2003-08-19	Kurasawa et al			
	4	6811724	B2	2004-11-02	Majumdar et al			
	5	5876647		1999-03-02	1999-03-02 Makise et al			
	6	6565784	B1	2003-05-20	Esseghir et al			
If you wish to add additional U.S. Patent citation information please click the Add button.							Add	
U.S.PATENT APPLICATION PUBLICATIONS Remove								
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines where nt Passages or Relevant s Appear	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10608976
Filing Date		2003-06-27
First Named Inventor David		E. Rodrigues
Art Unit		1751
Examiner Name Vijaya		ıkumar
Attorney Docket Number		RD28584-1

	1	20040	0211942	A1	2004-10	-28	Clark et al						
	2	20040	0238793	A1	2004-12	-02	Hossan et al						
	3	20040	0262581	A1	2004-12	-30	Rodrigues						
If you wisl	n to ac	dd add	litional U.S. Publis	shed Ap	plication	citation	n information p	leas	e click the Add	d butto	n. Add		
					FOREIG	N PAT	ENT DOCUM	ENT:	S		Remove		
Examiner Initial*	Cite No	Forei Numl	ign Document ber³	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	App	plicant of cited wh		where Rel	or Relevant	T5
	1												
If you wisl	n to ac	dd add	ditional Foreign Pa	atent Do	cument	citation	information pl	ease	click the Add	button	Add		
				NON	I-PATEN	IT LITE	RATURE DO	CUM	ENTS		Remove		
Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.										T5			
	1	Applied Nanotech Inc. webpage (http://www.applied-nanotech.com/cntproperties.htm)											
	2	International Search Report; International App. No. PCT/US2006/004468; Applicant's No. 08CN8788 (GP2-0388) Date of Mailing 11-20-06											
If you wish to add additional non-patent literature document citation information please click the Add button Add													
EXAMINER SIGNATURE													
Examiner	Signa	ture							Date Conside	ered			
			reference conside									•	

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10608976		
Filing Date		2003-06-27		
First Named Inventor David		E. Rodrigues		
Art Unit		1751		
Examiner Name Vijaya		ıkumar		
Attorney Docket Number		RD28584-1		

<sup>&</sup>lt;sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10608976		
Filing Date		2003-06-27		
First Named Inventor David		E. Rodrigues		
Art Unit		1751		
Examiner Name	Vijaya	akumar		
Attorney Docket Number		RD28584-1		

Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OF	OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
П	See attached ce	rtification statement.						
	Fee set forth in 3	B7 CFR 1.17 (p) has been submitted herewith	٦.					
×	None							
		SIGNA <sup>-</sup>	TURE					
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigi	nature	/David E. Rodrigues/	Date (YYYY-MM-DD)	2007-03-13				
Nar	ne/Print	David E. Rodrigues	Registration Number	50604				
pub	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed							

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.